



## REQUEST FOR APPROVAL ON ADVERTISEMENTS/EVENTS/SOCIAL MEDIA POSTINGS

### PART A (To be completed by Dealer's Representative (DR))

<b>Type:</b> Training or Event or Advertisement or Social Media/ Website Posting	
<b>Name of:</b> The social media site / website where advertisement / link to be posted	
<b>Date / Time / Duration for the:</b> Training / Event / Advertisement or Social Media Posting	
Address of the social media site / website where advertisement / link to be placed	
The type of contents / purpose of the social media site / website where the advertisement or link to be placed	
The details of the Event / Training	
<b>Name / Background of the:</b> <ul style="list-style-type: none"> <li>person who is managing / creating contents for the social media site / website or</li> <li>the speaker for the event / training</li> </ul>	
The type of arrangement with the relevant party i.e., the details of the payment or incentive to the party	
Is TA Securities logo used?	
The information of potential clients required to provide via form or e-form link	
Additional information	

I hereby declare that I shall abide by the Dealer's Representative Code of Conduct, Company polices on advertisement and Securities Commission's Guidelines on Advertising for Capital Market Products and Related Services. I understand that the Company / Regulators can/will take disciplinary actions against me including imposing a fine in the event of any incorrect information or non-compliance with the Company's internal policy or regulatory requirement and I agree to fully absorb any penalty / fines imposed by the regulator on the Company.

I shall also indemnify and keep the Company fully indemnified for any claims, penalties, fines and losses incurred / suffered by the Company arising from such request stated above.

DR's Signature

Name

Date

### PART B (Important notes)

### PART C (To be completed by Management)

<ol style="list-style-type: none"> <li>Approval may take up to 7 working days</li> <li>Email submission to: <a href="mailto:ad@ta.com.my">ad@ta.com.my</a></li> <li>Provide the relevant supporting documents</li> </ol>	<b><u>Verified by</u></b>	<b><u>Approved by</u></b>
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